

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SHUMWAY ET AL.

Serial No.: 10/799,810

Filed: MARCH 12, 2004

Title: "SURFACTANT-FREE EMULSIONS AND  
METHODS OF USE THEREOF"

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Group Art Unit: 1712

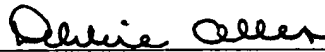
Examiner: FIGUEROA, JOHN J.

Atty. Docket No: HES 2003-IP-012703U1

CERTIFICATE OF FILING ELECTRONICALLY VIA EFS  
MPEP 503

I HEREBY CERTIFY THAT I HAVE A REASONABLE BASIS FOR BELIEF THAT THIS  
CORRESPONDENCE IS BEING SUBMITTED TO THE UNITED STATES PATENT AND  
TRADEMARK OFFICE VIA EFS (ELECTRONICALLY) ON THE DATE INDICATED  
BELOW, AND IS ADDRESSED TO:

HONORABLE COMMISSIONER FOR PATENTS  
P.O. Box 1450  
ALEXANDRIA, VA 22313-1450



DEBBIE ALLEN

FEBRUARY 15, 2007

DATE OF SUBMISSION:  
ELECTRONIC FILING (EFS)

MAIL STOP AMENDMENT  
Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

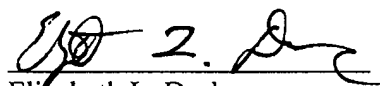
Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that  
the art listed on the attached PTO-1449 form be considered and cited in the examination of the  
above-identified patent application.

Pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that a search

has been made, or that the cited documents are, or are considered to be, material to the patentability of the present application.

As this information disclosure statement is being filed before the mailing of a first office action after the filing of a request for continued examination, Applicants believe that no fees are due in association with the filing of this Information Disclosure Statement. Should the Commissioner deem that any fees are due, including any fees for extensions of time, the Commissioner is authorized to debit Baker Botts L.L.P. Deposit Account No. 02-0383, Order Number 063718.0454, for any underpayment of fees that may be due in association with this filing.

Respectfully submitted,



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Date: February 15, 2007

PTO-1449  <b>Information Disclosure Citation in an Application</b>		Application No. <b>10/799,810</b>		Applicant(s): <b>SHUMWAY ET AL.</b>	
		Docket Number <b>HES 2003-IP-012703U1</b>		Group Art Unit <b>1712</b>	Filing Date <b>MARCH 12, 2004</b>

U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	1						
	2						
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FOREIGN PATENT DOCUMENTS						
		DOCUMENT NO.	DATE	COUNTRY	PAGES, COLUMNS, LINES WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR	T
	6					
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NON-PATENT DOCUMENTS			
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	14	OFFICE ACTION DATED JUNE 16, 2006 FROM APPLICATION SERIAL NUMBER 10/829,484	JUNE 16, 2006
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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.